

Internal Audit Finding Progress Update

Of the 20 in-progress findings, seven are considered key findings. Key findings address controls that are not fully addressing key risks or working effectively and consistently; instances of noncompliance with laws, regulations, or policies; or occurrences of inefficient or ineffective processes. Management is making progress to address these findings, which are listed in detail below.

AUDIT: Application Incident Management

Finding 1: Comprehensive monitoring and logging of incidents is not performed for sampled applications.

Finding 2: Logging of events is not performed for sampled applications.

Finding 3: Incidents not documented or insufficiently documented in the incident management tool.

Target Completion Date: March 2024

AUDIT: Contract Management

Finding 1: While “key personnel” is defined in some contract documents, the definition differs. As a result, business contract managers may be unclear about which contract compliance forms and recertifications are required and for whom and when to process amendments for personnel changes.

Target Completion Date: December 2023

AUDIT: Software Management

Finding 1: Although Enterprise IT Governance (EITG) tracks some software, software license, and software related items, EITG does not entirely adhere to the policy as written.

Target Completion Date: December 2023

AUDIT: System Implementation (Software Development Life Cycle)

Finding 1: Available policies, standards, and guidelines do not provide clear guidance to project managers regarding project deliverable requirements.

Finding 2: Select required deliverables were not created, available, or complete in support of the travel and human resource system implementations.

Target Completion Date: December 2023