

**PERSONAL INFORMATION** (please print clearly)

NAME: \_\_\_\_\_ SOCIAL SECURITY NUMBER: \_\_\_\_\_

457 PLAN       403(b) PLAN      SCHOOL DISTRICT PLAN NUMBER: \_\_\_\_\_ (Not required)

ADDRESS: \_\_\_\_\_ APT: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ ZIP CODE: \_\_\_\_\_

DAY PHONE: \_\_\_\_\_ EVENING PHONE: \_\_\_\_\_

E-MAIL: \_\_\_\_\_ DATE OF BIRTH: \_\_\_\_/\_\_\_\_/\_\_\_\_

**INSTRUCTIONS**

1. Contact your former employer, plan administrator, or financial institution to request and receive a rollover distribution check. You will need to provide the correct payee information for your rollover. The rollover check should be mailed directly to you and payable as follows:
  - CalSTRS Pension2 Plan for the benefit of (F.B.O.) (Your Name)
2. Obtain required documentation. Your former employer or financial institution should provide you with proof of plan qualification and taxability. Proof of plan qualification status is typically documented in a copy of the plan's IRS Letter of Determination, a signed letter from your employer or prior Plan Administrator and/or your rollover distribution statement. Proof of taxability is typically documented in your rollover distribution statement.
3. Write the last four digits of your Social Security Number on the rollover check.

**PLEASE NOTE: AN INCOMPLETE APPLICATION, INSUFFICIENT DOCUMENTATION, A MISSING CHECK OR A CHECK WITH INCORRECT PAYEE INFORMATION MAY RESULT IN A DELAY IN POSTING FUNDS TO YOUR ACCOUNT OR THE RETURN OF YOUR APPLICATION AND/OR CHECK.**

**PROOF OF PRIOR PLAN QUALIFICATION AND TAXABILITY**

**Plan qualification:** Your rollover contribution to the CalSTRS Pension2 Program must be from another qualified plan or IRA. The CalSTRS Pension2 Program accepts rollover contributions from a 401(a)/(k), 403(b), governmental 457 deferred compensation plan or traditional IRA. If you choose to rollover an eligible plan payment that was paid to you, it will be treated as an indirect rollover which must be completed within 60 days after you received the payment.

**Note:** If you are directly rolling over Roth money, we must receive cost basis and the Roth account's start date directly from your prior record keeper. Please include a copy of your rollover distribution statement from your former plan PLUS documentation providing the start date and total amount of your Roth contributions.

**Taxability:** You must provide documentation that details the taxability of the funds to be rolled over indicating: pre-tax, non-Roth, after-tax, designated Roth.

**You may need to contact your former employer, plan administrator, or financial institution to provide you with this information which must accompany this application and rollover check.**

**INVESTMENT FUND ELECTIONS (MUST TOTAL 100%)**

I elect my rollover contribution to follow the same investment election as the regular contribution election already on file [ ] or, if you do not designate fund elections or elections do not total 100%, an investment allocation does not exist for the money type being rolled, your rollover contribution allocation will default to Voya Fixed Plus III<sup>1</sup>. Please refer to the Plan Highlights and Fund Fact Sheets located at Pension2.com for additional information about the CalSTRS Pension2 Program, Voya Fixed Plus III<sup>1</sup> and the mutual fund investment options.

VOYA FIXED PLUS III <sup>1</sup>	_____	.00%	VANGUARD SMALL CAP INDEX	_____	.00%
FEDERATED USTREASURY CASH	_____	.00%	VANGUARD MID CAP INDEX	_____	.00%
VANGUARD TOTAL BOND MARKET	_____	.00%	GMO GLOBAL EQUITY ASSET	_____	.00%
VANGUARD SHORT-TERM BOND INDEX	_____	.00%	VANGUARD EMERGING MARKETS	_____	.00%
VANGUARD INFLATION PROTECTED	_____	.00%	VANGUARD DEVELOPED MARKETS	_____	.00%
VANGUARD TOTAL STOCK MARKET	_____	.00%	DODGE AND COX INTERNATIONAL	_____	.00%
VANGUARD INSTITUTIONAL INDEX	_____	.00%	DFA INTERNATIONAL SMALL CO	_____	.00%
TIAA-CREF SOCIAL CHOICE EQUITY	_____	.00%	ARTISAN INTERNATIONAL	_____	.00%
DODGE AND COX STOCK	_____	.00%	VANGUARD RETI INDEX	_____	.00%
AMERICAN GROWTH FUND	_____	.00%	PIMCO ALL ASSET	_____	.00%
			<b>TOTAL</b>		<b>100%</b>

**AUTHORIZATION**

*I certify that the amount of my rollover contribution represents only money that is eligible to be rolled over into the CalSTRS Pension2 Program. If any of the money is subsequently determined to be ineligible for rollover, I understand that the Plan will distribute the ineligible amount and any attributable earnings, if applicable.*

PARTICIPANT SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

**If you have any questions, please go online at Pension2.com or call the CalSTRS Pension2 Service Center at 1-844-353-2872 (TTY/TTD users call 1-800-468-5449). Customer Service Associates are available Monday through Friday, 6:00 A.M. to 5:00 P.M. Pacific Time (excluding stock market holidays).**

**CHECKLIST**

**PLEASE REVIEW YOUR APPLICATION CAREFULLY.**

- Completed the Personal Information section, **and**
- Contacted your former employer or financial institution, **and**
- Completed the Investment Fund Elections section, **and**
- Included your rollover check (made payable to CalSTRS Pension 2 Plan, F.B.O. (Your Name)), **and**
- Included proof of plan qualification documenting the source of your rollover contribution such as: 401(k), 403(b), 457 or IRA (IRS Letter of Determination, letter from plan's prior record keeper, and rollover distribution statement), **and**
- Included proof of taxability detailing the taxability of funds to be rolled over such as: pre-tax, non-Roth, after-tax, designated Roth. (Letter from plan's prior record keeper, and/or rollover distribution statement), **and**
- Signed and dated the Rollover Contribution form

**If your rollover check or any of the above required information or documentation is missing from your application, there will be a delay in processing your rollover contribution and your application and/or check may be returned to you.**

**If your application is complete, please mail the application to:**

**VIA MAIL**

Voya Financial  
Attn: CalSTRS Pension2 Plan Administration  
P.O. Box 55772  
Boston, MA 02205-5772

**VIA OVERNIGHT DELIVERY**

Voya Financial  
Attn: CalSTRS Pension2 Plan Administration  
30 Braintree Hill Office Park  
Braintree MA 02184

<sup>1</sup> Voya Fixed Plus III is offered through a group annuity contract issued by Voya Retirement Insurance and Annuity Company, P.O. Box 990063, Hartford, CT 06199-0063.